

**CONFIDENTIALITY OF ALCOHOL AND DRUG ABUSE PATIENT RECORDS**

The confidentiality of alcohol and drug abuse patient records, which may include HIV/AIDS information and other records indicating the presence of a communicable or non-communicable disease, maintained by this program is protected by Federal law and regulations. Information identifying a patient as an alcohol or drug abuser will not be disclosed by the person or organization that received it unless:

- (1) The patient consents in writing; OR
- (2) The disclosure is allowed by a court order; OR
- (3) The disclosure is made to medical personnel in a medical emergency or to a qualified personnel for research, audit, or program evaluation; OR
- (4) The patient commits or threatens to commit a crime either at the program or against any person who works for the program.

If relevant, I also voluntarily authorize and request disclosure (including paper, oral and electronic interchange) of any mental health information. This includes specific permission to release all records and other information regarding mental health treatment, hospitalization and outpatient care.

Violation of the Federal law and regulations by a program is a crime. Suspected violations may be reported to the United States Attorney in the district where the violation occurs.

Federal law and regulations do not protect any information about suspect child abuse or neglect from being reported under state law to appropriate state or local authorities.

(See 42 U.S.C. Sec. 290dd-2 for Federal law and 42 CFR Part 2 for Federal regulations.)

Legal Action Center. (1996) Handbook on legal issues for school-based programs (Revised). pp. 71, 72, & 74. New York: Author.

**CONSENT FOR RELEASE OF CONFIDENTIAL INFORMATION**

I, \_\_\_\_\_, authorize \_\_\_\_\_ to disclose to **DBH** the following information  
(Client Name) (Treatment Program) (Outside Agency)

for activities/services within the indicated date ranges:

Client Information (Profile) (Until Discharge + 100 days)

GPRA Assessment (Until Discharge + 100 days)

GPRA Interview (Until Discharge + 100 days)

Intake Transaction (Until Discharge + 100 days)

Miscellaneous Note Detail (Until Discharge + 100 days)

TAP Assessment (Until Discharge + 100 days)

GPRA Assessment (Until Discharge + 100 days)

GPRA Interview (Until Discharge + 100 days)

**Other Disclosures**

The purpose of the disclosure authorized herein is: Disclosure Agreement

I understand that my records are protected under federal regulations governing Confidentiality of Alcohol and Drug Abuse Patient Records, 42 CFR Part 2, and the Health Insurance Portability and Accountability Act of 1996 (HIPAA), 45 C.F.R. Pts. 160 & 164 and cannot be disclosed without my written consent unless otherwise provided for in the regulations. I also understand that I may revoke this consent at any time except to the extent that action has been taken in reliance on it, and that in any event this consent expires automatically as indicated with each disclosure item above. I understand that generally APRA Intake Agency may not condition my treatment on whether I sign a consent form, but that in certain limited circumstances I may be denied treatment if I do not sign a consent form.

Participant name \_\_\_\_\_

Signature of participant \_\_\_\_\_

Date \_\_\_\_\_

Witness name \_\_\_\_\_

Signature of witness \_\_\_\_\_

Date \_\_\_\_\_

Signature of parent, guardian or authorized representative when required \_\_\_\_\_

(Supporting documentation is required for guardian or authorized representative)

**PROHIBITION ON REDISCLOSURE OF INFORMATION CONCERNING CLIENT IN ALCOHOL OR DRUG ABUSE TREATMENT**

This notice accompanies a disclosure of information concerning a client in alcohol/drug abuse treatment, made to you with the consent of such client. This information has been disclosed to you from records protected by Federal confidentiality rules (42 CFR Part 2). The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 CFR Part 2. A general authorization for the release of medical or other information is NOT sufficient for this purpose. The Federal rules restrict any use of the information to criminally investigate or prosecute any alcohol or drug abuse patient.

BASELINE INTERVIEW DATE: \_\_\_\_\_

6 MONTH FOLLOW-UP DATE: \_\_\_\_\_

INTERVIEWER NAME: \_\_\_\_\_

Unique Client ID# (*TO BE COMPLETED BY DBH*) \_\_\_\_\_

### GPRA LOCATOR FORM

On this form, we collect information that will help us contact you when it is time for the six (6) month follow-up interview. This information will remain confidential to protect your privacy and will not be distributed to anyone outside of the District of Columbia Department of Behavioral Health and its evaluators. Please answer each question accurately and to the best of your ability.

1. Please tell me your full name:

\_\_\_\_\_  
First Middle Last

2. Date of Birth: \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ 3. SS# (Last four digits): \_\_\_\_\_

4. Ethnicity: \_\_\_\_\_

5. Current Residence

\_\_\_\_\_  
(Street address) (Apt. #)

\_\_\_\_\_  
(City) (State) (Zip)

6. Mailing address:

\_\_\_\_\_  
(Street address) (Apt. #)

\_\_\_\_\_  
(City) (State) (Zip)

7. Cell Phone: \_\_\_\_\_ 8. Work phone: \_\_\_\_\_

9. Alternate phone: \_\_\_\_\_

10. E-mail address:

\_\_\_\_\_

11. Emergency Contacts: Do you have family or friends who usually know how to reach you if you should move or leave the program?

(1) Relationship: \_\_\_\_\_

\_\_\_\_\_  
First Middle Last

Address:

\_\_\_\_\_  
(Street) (City) (State)

Phone \\_\_\_\_\_, \_\_\_\_\_ Work phone: \_\_\_\_\_

Cell Phone: \\_\_\_\_\_, \_\_\_\_\_ Email: \_\_\_\_\_

(2) Relationship: \_\_\_\_\_

\_\_\_\_\_  
First Middle Last

Address:

\_\_\_\_\_  
(Street) (City) (State)

Phone \\_\_\_\_\_, \_\_\_\_\_ Work phone: \_\_\_\_\_

Cell Phone: \\_\_\_\_\_, \_\_\_\_\_ Email: \_\_\_\_\_

(3) Relationship: \_\_\_\_\_

\_\_\_\_\_  
First Middle Last

Address:

\_\_\_\_\_  
(Street) (City) (State)

Phone: \\_\_\_\_\_, \_\_\_\_\_ Relationship: \_\_\_\_\_

**Social Media Account:** (EX: Twitter, Facebook, Instagram) \_\_\_\_\_

**Account Username:** \_\_\_\_\_

***Thank you,  
DBH & OR Team***

**Substance Abuse and Mental Health Services Administration  
(SAMHSA)**

**Center for Substance Abuse Treatment (CSAT)**

**Government Performance and Results Act (GPRA)  
Client Outcome Measures for Discretionary Programs**

August 2022

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Public reporting burden for this collection of information is estimated to average 36 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information, if all items are asked of a client/participant; to the extent that providers already obtain much of this information as part of their ongoing client/participant intake or follow-up, less time will be required. Send comments regarding this burden estimate or any other aspect of this collection of information to SAMHSA Reports Clearance Officer, Room 15E57A, 5600 Fishers Lane, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The control number for this project is 0930-0208.

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**A. RECORD MANAGEMENT**

**Client ID** \_\_\_\_\_

**Client Description by Grant Type:**

- ☐ Treatment grant client
- ☐ Client in recovery grant

**Contract/Grant ID** \_\_\_\_\_

**Interview Type** *[CIRCLE ONLY ONE TYPE.]*

Intake *[GO TO INTERVIEW DATE.]*

3-month follow-up **[FOR SELECT PROGRAMS]**

→ → → Did you conduct a follow-up interview?      ☐ Yes      ☐ No  
*[IF NO, GO DIRECTLY TO SECTION I.]*

6-month follow-up → → → Did you conduct a follow-up interview?      ☐ Yes      ☐ No  
*[IF NO, GO DIRECTLY TO SECTION I.]*

Discharge → → → Did you conduct a discharge interview?      ☐ Yes      ☐ No  
*[IF NO, GO DIRECTLY TO SECTION J.]*

**Interview Date** \_\_\_\_\_



**A. RECORD MANAGEMENT - DEMOGRAPHICS [ASKED ONLY AT INTAKE/BASELINE.]**

**1. What is your birth month and year?**

\_\_\_\_\_

☐ REFUSED

**2. What do you consider yourself to be?**

- ☐ Male
- ☐ Female
- ☐ Transgender (Male to Female)
- ☐ Transgender (Female to Male)
- ☐ Gender non-conforming
- ☐ Other (SPECIFY) \_\_\_\_\_
- ☐ REFUSED

**3. Are you Hispanic, Latino/a, or of Spanish origin?**

- ☐ Yes
- ☐ No *[SKIP TO QUESTION 4]*
- ☐ REFUSED *[SKIP TO QUESTION 4]*

**3a. What ethnic group do you consider yourself? You may indicate more than one.**

- ☐ Central American
- ☐ Cuban
- ☐ Dominican
- ☐ Mexican
- ☐ Puerto Rican
- ☐ South American
- ☐ Other (SPECIFY) \_\_\_\_\_
- ☐ REFUSED

**4. What is your race? You may indicate more than one.**

- ☐ Black or African American
- ☐ White
- ☐ American Indian
- ☐ Alaska Native
- ☐ Asian Indian
- ☐ Chinese
- ☐ Filipino
- ☐ Japanese
- ☐ Korean
- ☐ Vietnamese
- ☐ Other Asian
- ☐ Native Hawaiian
- ☐ Guamanian or Chamorro
- ☐ Samoan
- ☐ Other Pacific Islander
- ☐ Other (SPECIFY) \_\_\_\_\_
- ☐ REFUSED

**5. Do you speak a language other than English at home?**

- ☐ Yes
- ☐ No *[SKIP TO QUESTION 6]*
- ☐ REFUSED *[SKIP TO QUESTION 6]*

**5a. What is this language?**

- ☐ Spanish
- ☐ Other (SPECIFY) \_\_\_\_\_

**6. Do you think of yourself as... [YOU MAY INDICATE MORE THAN ONE.]**

- ☐ Straight Or Heterosexual
- ☐ Homosexual (Gay Or Lesbian)
- ☐ Bisexual
- ☐ Queer, Pansexual, And/Or Questioning
- ☐ Asexual
- ☐ Other (SPECIFY) \_\_\_\_\_
- ☐ REFUSED

**7. What is your relationship status?**

- ☐ Married
- ☐ Single
- ☐ Divorced
- ☐ Separated
- ☐ Widowed
- ☐ In a relationship
- ☐ In multiple relationships
- ☐ REFUSED

**8. Are you currently pregnant?**

- ☐ Yes
- ☐ No
- ☐ Do not know
- ☐ REFUSED

**9. Do you have children? [Refers to children both living and/or who may have died]**

- ☐ Yes
- ☐ No *[SKIP TO QUESTION 10]*
- ☐ REFUSED *[SKIP TO QUESTION 10]*

**9a. How many children under the age of 18 do you have?**

|\_\_\_\_\_ ☐ REFUSED

**9b. Are any of your children, who are under the age of 18, living with someone else due to a court's intervention? [THE VALUE IN ITEM A9b CANNOT EXCEED THE VALUE IN A9a.]**

- ☐ Yes Number of children removed from client's care \_\_\_\_\_
- ☐ No *[SKIP TO QUESTION 10]*
- ☐ REFUSED *[SKIP TO QUESTION 10]*



**9c. Have you been reunited with any of your children, under the age of 18, who have been previously removed from your care? [THE VALUE IN ITEM A9c CANNOT EXCEED THE VALUE IN A9a.]**

- ☐ Yes      Number of children with whom the client has been reunited \_\_\_\_\_
- ☐ No
- ☐ REFUSED

**10. Have you ever served in the Armed Forces, in the Reserves, in the National Guard, or in other Uniformed Services? [IF SERVED] What area, the Armed Forces, Reserves, National Guard, or other did you serve?**

- ☐ No
- ☐ Yes, In The Armed Forces
- ☐ Yes, In The Reserves
- ☐ Yes, In The National Guard
- ☐ Yes, Other Uniformed Services [Includes NOAA, USPHS]
- ☐ REFUSED

**11. How long does it take you, on average, to travel to the location where you receive services provided by this grant?**

- ☐ Half an hour or less
- ☐ Between half an hour and one hour
- ☐ Between one hour and one and a half hours
- ☐ Between one and a half hours and two hours
- ☐ Two hours or more
- ☐ REFUSED

## B. SUBSTANCE USE AND PLANNED SERVICES

### 1. USING THE TABLE BELOW, PLEASE INDICATE THE FOLLOWING:

#### A. THE NUMBER OF DAYS, IN THE PAST 30 DAYS, THAT THE CLIENT REPORTS USING A SUBSTANCE.

**[DO NOT READ TO CLIENT]** The client should be encouraged to list the substances on their own. If they are unsure, the list from the table below can be read to the client. Please note that not all substance use is considered harmful or illicit – it may be that a substance is prescribed by a licensed provider, or that the client uses the substance in accordance with official, national safety guidelines. In such instances, clarification from the client should be sought, but if the substance is only taken as prescribed or used on each occasion in accordance with official, national safety guidelines, then it is not considered misuse. If no use of a listed substance is reported, please enter a zero ('0') in the corresponding 'Number of Days Used' column. If the client refuses to answer the question, then select "REFUSED".

#### B. THE ROUTE BY WHICH THE SUBSTANCE IS USED.

**[DO NOT READ TO CLIENT]** Mark one route only for each substance used. But, if the client identifies more than one route, choose the corresponding route with the highest associated number value (numbers 1 – 6). Responses should capture the past 30 days of use.

During the past 30 days, how many days have you used any substance, and how do you take the substance?

☐ REFUSED

	A. Number of Days Used	B. Route			
		1.	2.	3.	
		Oral	Intranasal	Vaping	
		4.	5.	6.	
		Smoking	Non-IV Injection	Intravenous (IV) Injection	
		0.			
		Other			
<b>a. Alcohol</b>					
1. Alcohol	__		__		
2. Other (SPECIFY)	__		__		
<b>b. Opioids</b>					
1. Heroin	__		__		
2. Morphine	__		__		
3. Fentanyl (Prescription Diversion Or Illicit Source)	__		__		
4. Dilaudid	__		__		
5. Demerol	__		__		
6. Percocet	__		__		
7. Codeine	__		__		
8. Tylenol 2, 3, 4	__		__		
9. OxyContin/Oxycodone	__		__		
10. Non-prescription methadone	__		__		
11. Non-prescription buprenorphine	__		__		
12. Other (SPECIFY)	__		__		
<b>c. Cannabis</b>					
1. Cannabis (Marijuana)	__		__		
2. Synthetic Cannabinoids	__		__		

	A. Number of Days Used	B. Route		
		1. Oral	2. Intranasal	3. Vaping
		4. Smoking	5. Non-IV Injection	6. Intravenous (IV) Injection
		0. Other		
3. Other (SPECIFY)	__		__	
<b><u>d. Sedative, Hypnotic, or Anxiolytics</u></b>				
1. Sedatives	__		__	
2. Hypnotics	__		__	
3. Barbiturates	__		__	
4. Anxiolytics/Benzodiazepines	__		__	
5. Other (SPECIFY)	__		__	
<b><u>e. Cocaine</u></b>				
1. Cocaine	__		__	
2. Crack	__		__	
3. Other (SPECIFY)	__		__	
<b><u>f. Other Stimulants</u></b>				
1. Methamphetamine	__		__	
2. Stimulant medications	__		__	
3. Other (SPECIFY)	__		__	
<b><u>g. Hallucinogens &amp; Psychedelics</u></b>				
1. PCP	__		__	
2. MDMA	__		__	
3. LSD	__		__	
4. Mushrooms	__		__	
5. Mescaline	__		__	
6. Salvia	__		__	
7. DMT	__		__	
8. Other (SPECIFY)	__		__	
<b><u>h. Inhalants</u></b>				
1. Inhalants	__		__	
2. Other (SPECIFY)	__		__	
<b><u>i. Other Psychoactive Substances</u></b>				
1. Non-prescription GHB	__		__	
2. Ketamine	__		__	
3. MDPV/Bath Salts	__		__	
4. Kratom	__		__	
5. Khat	__		__	
6. Other tranquilizers	__		__	
7. Other downers	__		__	
8. Other sedatives	__		__	
9. Other hypnotics	__		__	
10. Other (SPECIFY)	__		__	
<b><u>j. Tobacco and Nicotine</u></b>				
1. Tobacco	__		__	

	A. Number of Days Used	B. Route		
		1. Oral	2. Intranasal	3. Vaping
		4. Smoking	5. Non-IV Injection	6. Intravenous (IV) Injection
		0. Other		
2. Nicotine (Including Vape Products)	__		__	
3. Other (SPECIFY)	__		__	

2. Have you been diagnosed with an alcohol use disorder, if so which FDA-approved medication did you receive for the treatment of this alcohol use disorder in the past 30 days? [CHECK ALL THAT APPLY.]

- ☐ Naltrexone [IF RECEIVED] Specify how many days received |\_\_|  
☐ Extended-release Naltrexone [IF RECEIVED] Specify how many doses received |\_\_|  
☐ Disulfiram [IF RECEIVED] Specify how many days received |\_\_|  
☐ Acamprosate [IF RECEIVED] Specify how many days received |\_\_|  
☐ DID NOT RECEIVE AN FDA-APPROVED MEDICATION FOR A DIAGNOSED ALCOHOL USE DISORDER  
☐ CLIENT DOES NOT REPORT SUCH A DIAGNOSIS

3. Have you been diagnosed with an opioid use disorder, if so which FDA-approved medication did you receive for the treatment of this opioid use disorder in the past 30 days? [CHECK ALL THAT APPLY.]

- ☐ Methadone [IF RECEIVED] Specify how many days received |\_\_|  
☐ Buprenorphine [IF RECEIVED] Specify how many days received |\_\_|  
☐ Naltrexone [IF RECEIVED] Specify how many days received |\_\_|  
☐ Extended-release Naltrexone [IF RECEIVED] Specify how many doses received |\_\_|  
☐ DID NOT RECEIVE AN FDA-APPROVED MEDICATION FOR A DIAGNOSED OPIOID USE DISORDER  
☐ CLIENT DOES NOT REPORT SUCH A DIAGNOSIS

4. Have you been diagnosed with a stimulant use disorder, if so which evidence-based interventions did you receive for the treatment of this disorder in the past 30 days? [CHECK ALL THAT APPLY.]

- ☐ Contingency Management [IF RECEIVED] Specify how many days received |\_\_|  
☐ Community Reinforcement [IF RECEIVED] Specify how many days received |\_\_|  
☐ Cognitive Behavioral Therapy [IF RECEIVED] Specify how many days received |\_\_|  
☐ Other evidence-based intervention [IF RECEIVED] Specify how many days received |\_\_|  
☐ DID NOT RECEIVE ANY INTERVENTION FOR A DIAGNOSED STIMULANT USE DISORDER  
☐ CLIENT DOES NOT REPORT SUCH A DIAGNOSIS

5. Have you been diagnosed with a tobacco use disorder, if so which FDA-approved medication did you receive for the treatment of this tobacco use disorder in the past 30 days? [CHECK ALL THAT APPLY.]

- ☐ Nicotine Replacement [IF RECEIVED] Specify how many days received |\_\_|  
☐ Bupropion [IF RECEIVED] Specify how many days received |\_\_|  
☐ Varenicline [IF RECEIVED] Specify how many days received |\_\_|  
☐ DID NOT RECEIVE AN FDA-APPROVED MEDICATION FOR A DIAGNOSED TOBACCO USE DISORDER  
☐ CLIENT DOES NOT REPORT SUCH A DIAGNOSIS

6. In the past 30 days, did you experience an overdose or take too much of a substance that resulted in needing supervision or medical attention?

- ☐ Yes [IF YES, SPECIFY BELOW, IN QUESTION 7]  
☐ No [IF NO, SKIP TO QUESTION 8]  
☐ REFUSED [SKIP TO QUESTION 8]



7. **In the past 30 days, after taking too much of a substance or overdosing, what intervention did you receive? You may indicate more than one.**
- ☐ Naloxone (Narcan)
  - ☐ Care in an Emergency Department
  - ☐ Care from a Primary Care Provider
  - ☐ Admission to a hospital
  - ☐ Supervision by someone else
  - ☐ Other (SPECIFY) \_\_\_\_\_
  - ☐ REFUSED
8. **Not including this current episode, how many times in your life have you been treated at an inpatient or outpatient facility for a substance use disorder?**
- ☐ One time
  - ☐ Two times
  - ☐ Three times
  - ☐ Four times
  - ☐ Five times
  - ☐ Six or more times
  - ☐ Never *[SKIP TO QUESTION 10]*
  - ☐ REFUSED *[SKIP TO QUESTION 10]*
9. **Approximately when was the last time you received inpatient or outpatient treatment for a substance use disorder?**
- ☐ Less than 6 months ago
  - ☐ Between 6 months and one year ago
  - ☐ One to two years ago
  - ☐ Two to three years ago
  - ☐ Three to four years ago
  - ☐ Five or more years ago
  - ☐ REFUSED
10. **Have you ever been diagnosed with a mental health illness by a health care professional?**
- ☐ Yes
  - ☐ No *[SKIP TO QUESTION 11]*
  - ☐ REFUSED *[SKIP TO QUESTION 11]*

**10a. PLEASE ASK THE CLIENT TO SELF-REPORT THEIR MENTAL HEALTH ILLNESSES AS LISTED IN THE TABLE BELOW. THE CLIENT SHOULD BE ENCOURAGED TO REPORT THEIR OWN MENTAL HEALTH ILLNESSES BUT IF PREFERRED, THE LIST CAN BE READ TO THE CLIENT. PLEASE INDICATE ALL THAT APPLY.**

	SELF-REPORTED
<b>Schizophrenia, schizotypal, delusional, and other non-mood psychotic disorders</b>	
Brief psychotic disorder	<input type="radio"/>
Delusional disorder	<input type="radio"/>
Schizoaffective disorders	<input type="radio"/>
Schizophrenia	<input type="radio"/>
Schizotypal disorder	<input type="radio"/>
Shared psychotic disorder	<input type="radio"/>
Unspecified psychosis	<input type="radio"/>
<b>Mood [affective] disorders</b>	
Bipolar disorder	<input type="radio"/>
Major depressive disorder, recurrent	<input type="radio"/>

	SELF-REPORTED
Major depressive disorder, single episode	<input type="radio"/>
Manic episode	<input type="radio"/>
Persistent mood [affective] disorders	<input type="radio"/>
Unspecified mood [affective] disorder	<input type="radio"/>
<b>Phobic Anxiety and Other Anxiety Disorders</b>	
Agoraphobia without panic disorder	<input type="radio"/>
Agoraphobia with panic disorder	<input type="radio"/>
Agoraphobia, unspecified	<input type="radio"/>
Generalized anxiety disorder	<input type="radio"/>
Panic disorder	<input type="radio"/>
Phobic anxiety disorders	<input type="radio"/>
Social phobias (Social anxiety disorder)	<input type="radio"/>
Specific (isolated) phobias	<input type="radio"/>
<b>Obsessive-compulsive disorders</b>	
Excoriation (skin-picking) disorder	<input type="radio"/>
Hoarding disorder	<input type="radio"/>
Obsessive-compulsive disorder	<input type="radio"/>
Obsessive-compulsive disorder with mixed obsessional thoughts and acts	<input type="radio"/>
<b>Reaction to severe stress and adjustment disorders</b>	
Acute stress disorder; reaction to severe stress, and adjustment disorders	<input type="radio"/>
Adjustment disorders	<input type="radio"/>
Body dysmorphic disorder	<input type="radio"/>
Dissociative and conversion disorders	<input type="radio"/>
Dissociative identity disorder	<input type="radio"/>
Post traumatic stress disorder	<input type="radio"/>
Somatoform disorders	<input type="radio"/>
<b>Behavioral syndromes associated with physiological disturbances and physical factors</b>	
Eating disorders	<input type="radio"/>
Sleep disorders not due to a substance or known physiological condition	<input type="radio"/>
<b>Disorders of adult personality and behavior</b>	
Antisocial personality disorder	<input type="radio"/>
Avoidant personality disorder	<input type="radio"/>
Borderline personality disorder	<input type="radio"/>
Dependent personality disorder	<input type="radio"/>
Histrionic personality disorder	<input type="radio"/>
Intellectual disabilities	<input type="radio"/>
Obsessive-compulsive personality disorder	<input type="radio"/>
Other specific personality disorders	<input type="radio"/>
Paranoid personality disorder	<input type="radio"/>
Personality disorder, unspecified	<input type="radio"/>
Pervasive and specific developmental disorders	<input type="radio"/>
Schizoid personality disorder	<input type="radio"/>

☐ NONE OF THE ABOVE



**[FOLLOW-UP AND DISCHARGE INTERVIEWS: GO TO SECTION C. AT INTAKE, CONTINUE WITH THE FOLLOWING QUESTIONS]**

**11. Was the client screened by your program, using an evidence-based tool or set of questions, for co-occurring mental health and/or substance use disorders?**

- ☐ Yes
- ☐ No *[SKIP TO QUESTION 12]*

**11a. Did the client screen positive for co-occurring mental health and substance use disorders?**

- ☐ Yes
- ☐ No

**11b. *[IF YES TO QUESTION 11a]* Was the client referred for further assessment for a co-occurring mental health and substance use disorder?**

- ☐ Yes
- ☐ No

## 12. PLANNED SERVICES PROVIDED UNDER GRANT FUNDING /REPORTED BY PROGRAM STAFF ONLY AT INTAKE/BASELINE./

Identify the services you plan to provide to the client during the client's course of treatment/recovery. [MARK ONLY THE CIRCLE CORRESPONDING TO THE PLANNED SERVICE THAT WILL BE PROVIDED UNDER THE CURRENT GRANT. MARK ALL THAT APPLY IN EACH SECTION.]

### Modality

[SELECT AT LEAST ONE MODALITY.]

1. Case Management ☐
2. Intensive Outpatient Treatment ☐
3. Inpatient/Hospital (Other Than Withdrawal Management) ☐
4. Outpatient Therapy ☐
5. Outreach ☐
6. Medication ☐
  - A. Methadone ☐
  - B. Buprenorphine ☐
  - C. Naltrexone – Short Acting ☐
  - D. Naltrexone – Long Acting ☐
  - E. Disulfiram ☐
  - F. Acamprosate ☐
  - G. Nicotine Replacement ☐
  - H. Bupropion ☐
  - I. Varenicline ☐
7. Residential/Rehabilitation ☐
8. Withdrawal Management (Select Only One) ☐
  - A. Hospital Inpatient ☐
  - B. Free Standing Residential ☐
  - C. Ambulatory Detoxification ☐
9. After Care ☐
10. Recovery Support ☐
11. Other (Specify) \_\_\_\_\_ ☐

[SELECT AT LEAST ONE SERVICE.]

### Treatment Services

[SBIRT GRANTS: YOU MUST PROVIDE AT LEAST ONE OF THE TREATMENT SERVICES NUMBERED 1 THROUGH 4.]

1. Screening ☐
2. Brief Intervention ☐
3. Brief Treatment ☐
4. Referral to Treatment ☐
5. Assessment ☐
6. Treatment Planning ☐
7. Recovery Planning ☐
8. Individual Counseling ☐
9. Group Counseling ☐
10. Contingency Management ☐
11. Community Reinforcement ☐
12. Cognitive Behavioral Therapy ☐
13. Family/Marriage Counseling ☐
14. Co-Occurring Treatment Services ☐
15. Pharmacological Interventions ☐
16. HIV/AIDS Counseling ☐
17. Cultural Interventions/Activities ☐
18. Other Clinical Services (Specify) \_\_\_\_\_ ☐

### Case Management Services

1. Family Services (E.g. Marriage Education, Parenting, Child Development Services) ☐
2. Child Care ☐
3. Employment Service ☐
  - A. Pre-Employment ☐
  - B. Employment Coaching ☐
4. Individual Services Coordination ☐
5. Transportation ☐
6. HIV/AIDS Services ☐
  - A. If HIV Neg, Pre-Exposure Prophylaxis ☐
  - B. If HIV Neg, Post-Exposure Prophylaxis ☐
  - C. If HIV Positive, HIV Treatment ☐
7. Transitional Drug-Free Housing Services ☐
8. Housing Support ☐
9. Health Insurance Enrollment ☐
10. Other Case Management Services (Specify) \_\_\_\_\_ ☐

### Medical Services

1. Medical Care ☐
2. Alcohol/Drug Testing ☐
3. OB/GYN Services ☐
4. HIV/AIDS Medical Support & Testing ☐
5. Dental Care ☐
6. Viral Hepatitis Medical Support & Testing ☐
7. Other STI Support & Testing ☐
8. Other Medical Services (Specify) \_\_\_\_\_ ☐

### After Care Services

1. Continuing Care ☐
2. Relapse Prevention ☐
3. Recovery Coaching ☐
4. Self-Help and Mutual Support Groups ☐
5. Spiritual Support ☐
6. Other After Care Services (Specify) \_\_\_\_\_ ☐

### Education Services

1. Substance Use Education ☐
2. HIV/AIDS Education ☐
3. Naloxone Training ☐
4. Fentanyl Test Strip Training ☐
5. Viral Hepatitis Education ☐
6. Other STI Education Services ☐
7. Other Education Services (Specify) \_\_\_\_\_ ☐

### Recovery Support Services

1. Peer Coaching or Mentoring ☐
2. Vocational Services ☐
3. Recovery Housing ☐
4. Recovery Planning ☐
5. Case Management Services to Specifically Support Recovery ☐
6. Alcohol- and Drug-Free Social Activities ☐
7. Information and Referral ☐
8. Other Recovery Support Services (Specify) \_\_\_\_\_ ☐
9. Other Peer-to-Peer Recovery Support Services (Specify) \_\_\_\_\_ ☐

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**C. LIVING CONDITIONS**

**1. In the past 30 days, where have you been living most of the time? [DO NOT READ RESPONSE OPTIONS TO CLIENT.]**

- ☐ Shelter (Safe Havens, Transitional Living Center [TLC], Low-Demand Facilities, Reception Centers, Other Temporary Day or Evening Facility)
- ☐ Street/Outdoors (Sidewalk, Doorway, Park, Public Or Abandoned Building)
- ☐ Institution (Hospital, Nursing Home, Jail/Prison)
- ☐ Housed: **[IF HOUSED, CHECK APPROPRIATE SUBCATEGORY:]**
- ☐ Own/Rental Apartment, Room, Trailer, Or House
- ☐ Someone Else's Apartment, Room, Trailer, Or House (including couch surfing)
- ☐ Dormitory/College Residence
- ☐ Halfway House or Transitional Housing
- ☐ Residential Treatment
- ☐ Recovery Residence/Sober Living
- ☐ Other Housed (SPECIFY) \_\_\_\_\_
- ☐ REFUSED

**2. Do you currently live with any person who, over the past 30 days, has regularly used alcohol or other substances?**

- ☐ Yes
- ☐ No
- ☐ No, lives alone
- ☐ REFUSED

**D. EDUCATION, EMPLOYMENT, AND INCOME**

**1. Are you currently enrolled in school or a job training program? [IF ENROLLED] Is that full time or part time? [IF CLIENT IS INCARCERATED, CODE D1 AS "NOT ENROLLED."]**

- ☐ NOT ENROLLED
- ☐ ENROLLED, FULL TIME
- ☐ ENROLLED, PART TIME
- ☐ REFUSED

**2. What is the highest level of education you have finished, whether or not you received a degree?**

- ☐ LESS THAN 12TH GRADE
- ☐ 12TH GRADE/HIGH SCHOOL DIPLOMA/EQUIVALENT
- ☐ VOCATIONAL/TECHNICAL (VOC/TECH) DIPLOMA
- ☐ SOME COLLEGE OR UNIVERSITY
- ☐ BACHELOR'S DEGREE (FOR EXAMPLE: BA, BS)
- ☐ GRADUATE WORK/GRADUATE DEGREE
- ☐ OTHER (SPECIFY) \_\_\_\_\_
- ☐ REFUSED

**3. Are you currently employed? [CLARIFY BY FOCUSING ON STATUS DURING MOST OF THE PREVIOUS WEEK, DETERMINING WHETHER CLIENT WORKED AT ALL OR HAD A REGULAR JOB BUT WAS OFF WORK.] [IF CLIENT IS INCARCERATED AND HAS NO WORK OUTSIDE OF JAIL, CODE D3 AS "NOT LOOKING FOR WORK."]**

- ☐ EMPLOYED, FULL TIME (35+ HOURS PER WEEK, OR WOULD BE, IF NOT FOR LEAVE OR AN EXCUSED ABSENCE)
- ☐ EMPLOYED, PART TIME
- ☐ UNEMPLOYED—BUT LOOKING FOR WORK
- ☐ NOT EMPLOYED, NOT LOOKING FOR WORK
- ☐ NOT WORKING DUE TO A DISABILITY
- ☐ RETIRED, NOT WORKING
- ☐ OTHER (SPECIFY) \_\_\_\_\_
- ☐ REFUSED

**4. Do you, individually, have enough money to pay for the following living expenses? Choose all that apply.**

- ☐ Food
- ☐ Clothing
- ☐ Transportation
- ☐ Rent/Housing
- ☐ Utilities (Gas/Water/Electric)
- ☐ Telephone Connection (Cell or Landline)
- ☐ Childcare
- ☐ Health Insurance
- ☐ REFUSED

5. **What is your personal annual income, meaning the total pre-tax income from all sources, earned in the past year?**

- ☐ \$0 to \$9,999
- ☐ \$10,000 to \$14,999
- ☐ \$15,000 to \$19,999
- ☐ \$20,000 to \$34,999
- ☐ \$35,000 to \$49,999
- ☐ \$50,000 to \$74,999
- ☐ \$75,000 to \$99,999
- ☐ \$100,000 to \$199,999
- ☐ \$200,000 or more
- ☐ REFUSED

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**E. LEGAL**

1. **In the past 30 days, how many times have you been arrested? *[IF THE CLIENT INDICATES NO ARRESTS IN THE PAST 30 DAYS, BUT IS INCARCERATED AT THE TIME OF THE INTERVIEW, MARK CURRENTLY INCARCERATED]***
- TIMES      ☐ REFUSED    ☐ Currently Incarcerated
2. **Are you currently awaiting charges, trial, or sentencing?**
- ☐ Yes  
☐ No  
☐ REFUSED
3. **Are you currently on parole or probation or intensive pretrial supervision?**
- ☐ Probation  
☐ Parole  
☐ Intensive Pretrial Supervision  
☐ No  
☐ REFUSED
4. **Do you currently participate in a drug court program or are you in a deferred prosecution agreement?**
- ☐ Drug court program  
☐ Deferred prosecution agreement  
☐ No, neither of these  
☐ REFUSED



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**F. MENTAL AND PHYSICAL HEALTH PROBLEMS AND TREATMENT/RECOVERY**

**1. How would you rate your quality of life over the past 30 days?**

- ☐ Very poor
- ☐ Poor
- ☐ Neither poor nor good
- ☐ Good
- ☐ Very good
- ☐ REFUSED

**2. In the past 30 days, how many days have you [ENTER '0' IN DAYS IF THE CLIENT REPORTS THAT THEY HAVE NOT EXPERIENCED THE CONDITION. SELECT REFUSED FOR NO RESPONSE]:**

	<b>Days</b>	<b>REFUSED</b>
2a. Experienced serious depression	__	<input type="radio"/>
2b. Experienced serious anxiety or tension	__	<input type="radio"/>
2c. Experienced hallucinations	__	<input type="radio"/>
2d. Experienced trouble understanding, concentrating, or remembering	__	<input type="radio"/>
2e. Experienced trouble controlling violent behavior	__	<input type="radio"/>
2f. Attempted suicide	__	<input type="radio"/>
2g. Been prescribed medication for psychological/emotional problem	__	<input type="radio"/>

**[IF CLIENT REPORTS 1 OR MORE DAYS TO ANY QUESTION IN #2, PLEASE ENSURE THAT THEY ARE SEEN BY A LICENSED PROFESSIONAL AS SOON AS POSSIBLE.]**

**3. How much have you been bothered by these psychological or emotional problems in the past 30 days?**

- ☐ Not at all
- ☐ Slightly
- ☐ Moderately
- ☐ Considerably
- ☐ Extremely
- ☐ NO REPORTED MENTAL HEALTH COMPLAINTS IN THE PAST 30 DAYS
- ☐ REFUSED \_\_\_\_\_

**4. In the past 30 days, where have you gone to receive medical care? You may select more than one response.**

- ☐ Primary Care Provider
- ☐ Urgent Care
- ☐ The Emergency Department
- ☐ A specialist doctor
- ☐ No care was sought
- ☐ Other (SPECIFY) \_\_\_\_\_

**5. Do you currently have medical/health insurance?**

- ☐ Yes
- ☐ No *[GO TO NEXT SECTION]*
- ☐ REFUSED *[GO TO NEXT SECTION]*

**5a. What type of insurance do you have [CHECK ALL THAT APPLY]?**

- ☐ Medicare
- ☐ Medicaid
- ☐ Private Insurance or Employer Provided
- ☐ TRICARE or other military health care
- ☐ An assistance program [for example, a medication assistance program]
- ☐ Any other type of health insurance or health coverage plan (SPECIFY) \_\_\_\_\_
- ☐ REFUSED

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**G. SOCIAL CONNECTEDNESS**

- 1. In the past 30 days, did you attend any voluntary mutual support groups for recovery? In other words, did you participate in a non-professional, peer-operated organization that assists individuals who have addiction-related problems such as: Alcoholics Anonymous, Narcotics Anonymous, Secular Organization for Sobriety, Women for Sobriety, religious/faith-affiliated recovery mutual support groups, etc.? Attendance could have been in person or virtual.**

☐ Yes     *[IF YES] Specify How Many Times*          ☐ REFUSED  
☐ No  
☐ REFUSED

- 2. In the past 30 days, did you have interaction with family and/or friends that are supportive of your recovery?**

☐ Yes  
☐ No  
☐ REFUSED

- 3. How satisfied are you with your personal relationships?**

☐ Very Dissatisfied  
☐ Dissatisfied  
☐ Neither Satisfied nor Dissatisfied  
☐ Satisfied  
☐ Very Satisfied  
☐ REFUSED

- 4. In the past 30 days did you realize that you need to change those social connections or places that negatively impact your recovery?**

☐ Yes  
☐ No  
☐ REFUSED

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